



Customer No.:

PATENT



22852

PATENT TRADEMARK OFFICE

Attorney Docket No. 05725.0636-00

see top of page

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Jean-Marc ASCIONE et al. )  
Application No.: 09/881,807 ) Group Art Unit: 1616  
Filed: June 18, 2001 ) Examiner: Unassigned  
For: COMPOSITIONS COMPRISING )  
A CATIONIC HOMOPOLYMER )  
AND THEIR USE FOR )  
STABILIZATION OF AN OXIDIZING )  
SOLUTION )

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**Attention: Box Missing Parts**

Sir:

In response to the communication of August 6, 2001, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice to File Missing Parts of Application.

Please associate the enclosed declaration with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Andrew J. Vance  
Reg. No. 45,174

Dated: October 9, 2001

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,807	06/18/2001	Jean-Marc Ascione	5725.0636-00

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315



**CONFIRMATION NO. 8671**  
**FORMALITIES LETTER**

\*OC00000006387997\*

Date Mailed: 08/06/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 HTECKLU1 00000049 09881807

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PATENT

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Attorney Docket No. 05725.0636-00

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 For: COMPOSITIONS COMPRISING A )  
       CATIONIC HOMOPOLYMER AND )  
       THEIR USE FOR STABILIZATION )  
       OF AN OXIDIZING SOLUTION    )

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner of Patents and Trademarks  
 Washington, D.C. 20231

Sir:

We are forwarding herewith a copy of a Filing Receipt which has been received from the U.S. Patent and Trademark Office. There are errors in the Filing Receipt which are indicated in highlight on the copy. The cities and countries of residence for the two named inventors have been omitted. The correct information is shown in black ink adjacent the erroneous information.

We request that a corrected Filing Receipt be supplied as soon as possible.

If any fees are due in connection with the filing of this paper, please charge our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
 GARRETT & DUNNER, L.L.P.

By:

  
 Andrew J. Vance  
 Reg. No. 45,174

Dated: October 9, 2001

LAW OFFICES  
 FINNEGAN, HENDERSON,  
 FARABOW, GARRETT,  
 & DUNNER, L.L.P.  
 1300 I STREET, N.W.  
 WASHINGTON, DC 20005  
 202-408-4000



# UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/881,807	06/18/2001	1616	3454	5725.0636-00		168	4

CONFIRMATION NO. 8671

## FILING RECEIPT



OC000000006387996\*

**RECEIVED**

AUG 07 2001

Date Mailed: 08/06/2001

FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Jean-Marc Ascione, Residence Not Provided; New York, NY  
Michael De George, Residence Not Provided; Toms River, NJ

### Domestic Priority data as claimed by applicant

### Foreign Applications

If Required, Foreign Filing License Granted 08/06/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

### Title

Compositions comprising a cationic homopolymer and their use for stabilization of an oxidizing solution

### Preliminary Class

424